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APPLICATION NUMBER 10/051,644	FILING/RECEIPT DATE 01/18/2002	FIRST NAMED APPLICANT Leo Liu	ATTORNEY DOCKET NUMBER 2002630-0012
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24280  
 Choate, Hall & Stewart  
 Exchange Place  
 53 State Street  
 Boston, MA 02109

DONE BY: [Signature]

<input type="checkbox"/> Data Entry	_____
<input checked="" type="checkbox"/> Docket Entry	4123; 8123 Final
<input type="checkbox"/> Docket Crossover	_____
<input type="checkbox"/> Previously Entered	_____
<input type="checkbox"/> No Docketing Required	_____
<input type="checkbox"/> CPI Annuity	_____

CONFIRMATION NO. 8744  
 FORMALITIES LETTER  

 \*OC000000007547434\*

Date Mailed: 02/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable

form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

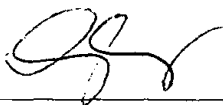
For questions regarding compliance to these requirements, please contact:

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- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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ATTORNEY DOCKET NUMBER: 2002630-0012

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Liu *et al.*  
Serial No.: 10/051,644  
Filed: January 18, 2002  
For: Screens and Assays for Agents Useful in Controlling Parasitic Nematodes

**BOX MISSING PARTS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

**TRANSMITTAL OF RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts of Application mailed by the U.S. Patent and Trademark Office on February 27, 2002, enclosed herewith please find the following:

1. Notice to File Missing Parts of Nonprovisional Application (2 pages);
2. Petition for Extension of Time (1 page);
3. Executed Declaration (4 pages);
4. Executed Written Assertion of Small Entity Status (1 page);
5. Executed Establishing Right of Assignee to Take Action (2 pages);
6. Executed Appointment of Attorney (2 pages);
7. Copy of Executed Assignment (4 pages);
8. Statement Filed Under 37 CFR 1.821 (1 page);
9. Amendment Introducing Sequence Listing (1 page);
10. Paper Copy of Sequence Listing (11 pages);
11. Computer-Readable Diskette with Sequence Listing
12. Twelve (12) sheets of substitute drawings;
13. Check in the amount of \$460.00;
14. Check in the amount of \$65.00; and
15. Return Postcard

The PRO did not receive the following listed items (s) as checked but received 460.00

Additionally, please charge any further fees associated with this filing or apply any credits to our Deposit Account No. 03-1721.

Respectfully submitted,

*Monica R. Gerber*  
Monica R. Gerber, M.D., Ph.D.  
Reg. No. 46,724

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
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(617) 248-5000  
Dated: July 29, 2002  
3398929\_1.DOC

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on 7/29/02

*[Signature]*



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#6

ATTORNEY DOCKET NUMBER: 2002630-0012

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Liu, et al. Examiner:  
Serial No.: 10/051,644 Art Unit:  
Filed: January 18, 2002  
For: SCREENS AND ASSAYS FOR AGENTS USEFUL IN CONTROLLING  
PARASITIC NEMATODES

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**AMENDMENT INTRODUCING SEQUENCE LISTING**

In the Specification

Beginning on a new page, immediately before the claims, please insert the attached Sequence Listing into the above-referenced case; please renumber subsequent pages accordingly.

Please charge any fees that may be associated with this matter, or credit any overpayments, to our Deposit Account No. 03-1721.

Respectfully submitted,

Monica R. Gerber  
Monica R. Gerber, M.D., Ph.D.  
Reg. No. 46,724

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53 State Street  
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Dated: July 29, 2002  
3409738

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to: Assistant  
Commissioner For Patents, Washington, D.C. 20231  
on \_\_\_\_\_